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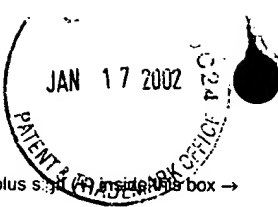
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	<b>09/982,125</b>	
	<b>Filing Date</b>	<b>October 17, 2001</b>	
	<b>First Named Inventor</b>	<b>WEINSTEIN, JAMES N.</b>	
	<b>Group Art Unit</b>	<b>3724</b>	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	<b>7</b>	<b>Attorney Docket Number</b>	<b>5506</b>
<b>ENCLOSURES (check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>	<b>Remarks</b>
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
<b>Firm or Individual Name</b>	<b>GENERAL MILLS</b>		
<b>Signature</b>	<i>John G. O'Shea</i>		
<b>Date</b>	<i>11/30/2001</i>		

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 24, 2001.	
<b>Typed or printed name</b>	<b>Brenda K. Popp</b>
<b>Signature</b>	<i>Brenda K. Popp</i>
<b>Date</b>	<i>Nov. 30, 2001</i>

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JAN 17 2002

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/982,125
Filing Date	October 17, 2001
First Named Inventor	WEINSTEIN, JAMES N.
Examiner Name	
Group Art Unit	3724
Attorney Docket No.	5506

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 1,188.00
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**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number **07-0900**  
Deposit Account Name \_\_\_\_\_
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**

☐ Check ☐ Credit Card ☐ Money ☐ Other  
Order

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

Code	Fee (\$)	Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Extra Claims		Fee from below	Fee Paid
Total Claims	33-20**	= 13 x 18	= 234
Indep. Claims	4-3**	= 1 x 84	= 84
Multiple Dependent			=

Large Entity Small Entity

Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

Code	Fee (\$)	Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

				Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	310	220	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1240	241	620	Petition to revive - unintentional	
142	1280	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129 (a))	
149	710	246	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
				Advance Copies	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	John A. O'Toole	Registration No.	28,336	Telephone	763-764-2422
Signature	<i>John A. O'Toole</i>	(Attorney/Agent)		Date	11/30/2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,125	10/17/2001	James Weinstein	GM Docket 5506

CONFIRMATION NO. 9344

## FORMALITIES LETTER



\*OC000000007103887\*

John O'Toole, Esq.  
General Mills, Inc.  
P.O. Box 1113  
Minneapolis, MN 55440

Date Mailed: 11/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$318.
  - \$234 for 13 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1188.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JAN 17 2002  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re.: Patent Application of  
WEINSTEIN, JAMES N.  
CREMERS, THOMAS G.  
SMITH, THOMAS L.

Serial No.: 09/982,125

Filed: October 17, 2001

For: ROTARY CUTTER ASSEMBLY

Docket No.: 5506

FILING OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicant has received a Notice To File Missing Parts  
Of Application--Filing Date Granted. The notice indicated  
that the following items were missing:

1. The basic filing fee,
2. Additional claim fees,
3. The oath or declaration.

Please find enclosed a Declaration executed by the  
inventors.

Also enclosed is a copy of the Notice. The fee is  
herewith made from Deposit Account No. 07-0900.

Respectfully submitted,

WEINSTEIN, JAMES N.  
CREMERS, THOMAS G.  
SMITH, THOMAS L.

I hereby certify that this document is  
being deposited with the United States  
Postal service as first class mail in an  
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Assistant Commissioner for  
Patents  
Washington, DC 20231

on: November 30, 2001

Brenda K. Papp  
Signature

By John A. O'Toole 11/30/01

John A. O'Toole  
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(763) 764-2422  
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